#### ERIE COUNTY WATER AUTHORITY



#### INTEROFFICE MEMORANDUM

August 8, 2018

To:

Terrence D. McCracken, Secretary to the Authority

From:

Leonard F. Kowalski, Senior Distribution Engineer

Subject: Contract MP-080

Capital Improvement Program for Ball Pump Station

ECWA Project No. 2018000137

#### The following material is attached:

Blue Authorization Form for Risk Manager and Legal Department approval. The Blue Authorization Form is requesting Board Authorization to execute the attached Professional Service Contract.

Professional Service Contract for the above referenced project.

The Richard F. Ball Pumping Station and Ground Storage Tanks are located along Sweet Home Road adjacent to the SUNY at Buffalo North Campus in the Town of Amherst, New York and were built in the mid 1970's. The site is between 6 and 7 acres and contains the pump station, two ground storage tanks, associated yard piping and electrical substation. The Van de Water Treatment Plant in the Town of Tonawanda pumps finished water to the two storage tanks at the pump station. The tanks supply water to the five pumps in the pump station which range in size from 700 hp to 1,500 hp. Two pumps are typically used on a daily basis to supply water to the nearby and outlying areas of the Water Authority system. The larger capacity pumps are typically used during periods of high system demand and will sometimes sit idle for several years. The pump station has space available to add three additional pumps.

This contract consists of the preparation of a multi-year capital improvements program for the Ball Pump Station.

LFK: imf Attachments cc: R.Stoll

CONT-MP-080-1801-011

#### PROFESSIONAL SERVICES CONTRACT

AGREEMENT made this	day of	, 20_	, by and between:
	ERIE COUNTY WATER AUTHORIT	Y	
	295 Main Street, Room 350		
	Buffalo, New York 14203		
	hereinafter referred to as the "Authority",	and	
	ARCADIS		
	Key Center at Fountain Plaza		
	50 Fountain Plaza, Suite 600		

hereinafter referred to as "Consultant".

Buffalo, New York 14202

WHEREAS, the Authority desires to contract with the Consultant to render professional services upon the terms and for the consideration hereinafter stated;

WHEREAS, the Consultant represents that it is properly qualified to render such services; and

WHEREAS, the parties desire to set forth herein the terms and conditions under which the said professional services will be furnished;

**NOW, THEREFORE,** in consideration of mutual promises herein set forth, the parties agree as follows:

#### 1. QUALIFICATION OF CONSULTANT:

The Consultant shall perform its services under this agreement in a skillful and competent manner in accordance with the prevailing standards of the consulting profession. The Consultant will be responsible to the Authority for errors or omissions in the performance of its services and failure to perform thereof.

#### 2. SCOPE OF SERVICES:

#### A. ENGINEERING SERVICES

The Richard F. Ball Pumping Station and Ground Storage Tanks are located along Sweet Home Road adjacent to the SUNY at Buffalo North Campus in the Town of Amherst, New York and were built in the mid 1970's. The site is between 6 and 7 acres and contains the pump station, two ground storage tanks, associated yard piping and electrical substation. The Van de Water Treatment Plant in the Town of Tonawanda pumps finished water to the two storage tanks at the pump station. The tanks supply water to the five pumps in the pump station which range in size from 700 hp to 1,500 hp. Two pumps are typically used on a daily basis to supply water to the nearby and outlying areas of the Water Authority system. The larger capacity pumps are typically used during periods of high system demand and will sometimes sit idle for several years. The pump station has space available to add three additional pumps.

This contract consists of the preparation of a multi-year capital improvements program for the Ball Pump Station. Specific engineering services include the following:

- 1. Assemble and review appropriate data contained in available pre-design studies, design reports, contract drawings, and specifications for the Ball Pump Station. Prepare a listing of key design criteria for each major unit of operation at the facility.
- 2. Review maintenance records and/or interview key Authority operational and engineering staff in order to identify pump station components with a history of operational and/or maintenance issues, and other operating deficiencies.
- 3. Conduct two (2) scheduled "walk-through" inspections to complete a visual assessment of pump station equipment and other components.
- 4. Evaluate existing hydraulic capacity of the pump station and provide options for removal of infrequently used large capacity pumps and the addition of smaller capacity pumps to provide additional redundancy.
- 5. Evaluate HVAC equipment, electrical, structural, and architectural building components in order to identify long term repair/replacement needs.
- 6. Evaluate process/yard piping and other pumping equipment/facilities in order to identify long term repair/replacement needs.
- 7. Identify control deficiencies and recommend instrumentation and monitoring improvements to be implemented at the pump station. The process monitoring concepts will, in general, be consistent with the Authority's current SCADA system plans.
- 8. Interim Report: Prepare a summary of existing conditions and a comprehensive needs assessment for the pump station based upon the preceding tasks. Meet with Authority staff to review and prioritize each deficiency and to discuss conceptual alternatives for repair/rehabilitation.
- 9. Identify rehabilitation/replacement needs for all items listed above and develop a conceptual approach for addressing each improvement. Develop cost estimates

- for repair/rehabilitation strategies which address needs and priorities outlined in the Interim Report (Task 8).
- 10. Prepare a draft report summarizing the data collection activities, needs assessment, repair/rehabilitation strategies/prioritization, and preliminary cost estimates.
- 11. Attend two (2) meetings with Authority staff to discuss the draft report and alternative repair/replacement approaches for key pump station components. Review the improvements to obtain Authority staff input on equipment types, manufacturers, etc.
- 12. Assemble and recommend a 15-year capital improvements program phased for continued upkeep of the pump station. The multi-year strategy will identify phased improvements in one-year increments for the first five years and five-year increments thereafter. Incorporate the multi-year strategy into the draft report prepared in Task 10.
- 13. Attend one (1) meeting with Authority staff to discuss and finalize the multi-year capital improvements program strategy

#### B. SPECIAL SERVICES

The Authority may require the Consultant to provide or arrange for and assist in obtaining one or more of the following special services in carrying out the project. Because it is not possible to determine in advance the need for or the cost of such services, these are included as separate elements of cost which shall be separately negotiated. These services include:

- 1. Soils Investigations including test borings and related analysis.
- 2. Detailed mill, shop and/or laboratory inspection of materials and equipment.
- 3. Land surveys, maps, plates, descriptions and title investigations which may be required to acquire lands, easements, and rights-of-way.
- 4. Additional copies of reports, contract drawings and documents.
- 5. Extra travel and subsistence for the Consultant and his staff beyond that normally required under ordinary circumstances, when authorized by the Authority.
- 6. Assistance to the Authority serving as an expert witness in litigation arising from project development or construction.
- 7. New York State SEQR (Type 1 and Unlisted Actions).
- 8. Air, water, soil, and/or hazardous material sampling testing, and/or analysis.
- 9. Preparation of grant applications or funding requests.
- 10. Asset Management including incorporation of O&M Manuals, specifications, equipment data, and operating procedures into a 3-D BIM model.
- 11. Arc Flash, short circuit, and coordination studies and/or field data collection.
- 12. Wetlands investigations, delineation, and mitigation.
- 13. Development, calibration, and reporting of computational fluid dynamic (CFD) or physical model(s) to further evaluate hydraulics of the pump station.
- 14. Field investigations and testing to evaluate piping condition to support pipe inventory, budgetary/construction phasing and/or provide additional information to fill-in data gaps in existing Authority documentation.

- 4. <u>SUBCONTRACT AND ASSIGNMENT</u>: The Consultant may not subcontract or delegate any of the work, services, and/or other obligations of the Consultant without the express written consent of the Authority. The Authority and the Consultant bind themselves and their successors, administrators and assigns to the terms of this Agreement. The Consultant shall not assign, sublet or transfer its interest in the Agreement without the written consent of the Authority.
- 5. <u>AMENDMENTS</u>: No modification or variation from the terms of this Agreement shall be effective unless it is in writing and authorized by a resolution of the Board of Commissioners of the Authority and signed by all parties.
- 6. RIGHT TO TERMINATE: The Authority reserves the right to terminate the Consultant=s services at any time, without cause, based on seven (7) days written notice. Consultant shall not be entitled to lost profit and shall perform only such services, after notification of termination, as the Authority directs.
- 7. INDEMNIFICATION: The Consultant shall indemnify the Authority against any and all claims arising from the services performed by the Consultant herein and shall defend and hold harmless the Authority from and against all claims, suits, actions, costs, counsel fees, expenses, damages, judgments or decrees based upon or arising out of damage to property or injury to persons or other tortuous' conduct caused or contributed to it by the Consultant or anyone under its direction or control or on its behalf in the course of its performance under this Agreement. The Consultant further agrees to indemnify, defend and hold harmless the Authority from any and all claims in reference to the services performed by the Consultant hereunder which may infringe on a patent, copyright, trade secret or other proprietary right of any third party.
- 8. <u>CONFIDENTIAL INFORMATION</u>: In order to assist the Consultant in the performance of this Agreement, the Authority may provide the Consultant with confidential information including, but not limited to information relative to the services to be performed. All information received by the Consultant in any fashion and under any conditions resulting from the rendering of the services in consideration of this agreement, is considered confidential. The Consultant shall hold in confidence and not disclose to any person or any entity, any information regarding information learned during the performing of services including but not limited to information relative to the services to be performed.

The Consultant shall use at least the same degree of care to protect and prevent unauthorized disclosure of any confidential information as it would use to protect and prevent unauthorized disclosure of its own proprietary information. The Consultant shall use confidential information only in the performance of this Agreement. No other use of the confidential information whether for the consultant's benefit or for the benefit of others shall be permitted.

In no event is the Consultant authorized to disclose confidential information without the prior written approval of the Authority. The terms of this paragraph shall be binding during and subsequent to the termination of this agreement.

- 9. INSURANCE: The Consultant shall secure and maintain such insurance as will protect itself from claims under the Workers' Compensation Act; claims for damages because of bodily injury, including personal injury, sickness or disease, or death of any of its employees or of any person other than its employees; and from claims for damages because of injury to or destruction of property including loss of use resulting there from in the amounts indicated on Exhibit A. The Consultant shall provide and maintain insurance that will provide coverage for claims arising out of the negligent performance of its services. The Consultant shall provide Certificates of Insurance certifying the coverage required by this provision.
- 10. <u>COPYRIGHTS, TRADEMARKS, AND LICENSING:</u> All materials produced under this Agreement, whether produced by the Consultant alone or with others, and whether or not produced during regular working hours, shall be considered work made for hire and the property of the Authority. The Consultant shall, during and subsequent to the terms of this Agreement, assign to the Authority, without further consideration, all right, title and interest in all material produced under this Agreement. All material produced under this Agreement shall be and remain the property of the Authority whether or not registered.

In performing work under this agreement, the Consultant may be granted access to the Authority's GIS data, documents, and other information. The Consultant understands and agrees that the use of such data, documentation and information shall be treated as confidential information and the Consultant shall abide by the terms and conditions of any confidentiality and copyright leasing agreements (attached as Exhibit B).

- 11. NEW YORK LAW AND JURISDICTION: Notwithstanding any other provision of this Agreement, any dispute concerning any question of fact or law arising under this Agreement which is not disposed of by agreement between the Consultant and the Authority shall be governed, interpreted and decided by a Court of competent jurisdiction of the State of New York in accordance with the laws of the State of New York.
- 12. CONFLICTS OF INTEREST: The Consultant represents that it has advised the Authority in writing prior to the date of signing this Agreement of any relationships with third parties, including competitors of the Authority, which would present a conflict of interest with the rendering of the services, or which would prevent the Consultant from carrying out the terms of this Agreement or which would present a significant opportunity for the disclosure of confidential information. The Consultant will advise the Authority of any such relationships that arise during the term of this Agreement. The Authority shall then have the option to terminate the Agreement without further liability of the Consultant, except to pay for services actually rendered.

- 13. <u>ADDITIONAL CONDITIONS</u>: The Consultant and the Authority acknowledge that there may be additional conditions, terms and provisions which shall apply specifically to the services to be performed. The parties agree to negotiate in good faith to agree upon such additional terms.
- 14. ENTIRE AGREEMENT: This Agreement constitutes the entire understanding of the parties and no representations or agreements, oral or written, made prior to its execution shall vary or modify the terms herein. This Agreement supersedes all prior contemporaneous communications, representations, or agreements, whether oral or written with respect to the subject matter hereof and has been induced by no representations, statements or agreements other than those herein expressed. No agreement hereafter made between the parties shall be binding on either party unless reduced to writing and signed by an authorized officer of the party sought to be bound thereby.
- 15. <u>INDEPENDENT STATUS</u>: Nothing contained in the Agreement shall be construed to render either the Authority or the Consultant a partner, employee or agent of the other, nor shall either party have authority to bind the other in any manner, other than as set forth in this Agreement, it being intended that the Consultant shall remain an independent contractor responsible for its own actions. The Consultant is retained by the Authority only for the purpose and to the extent set forth in this Agreement.

The Consultant is free to choose the aggregate number of hours worked and substantially all of the scheduling of such hours as it shall see fit at its discretion within the limitations set forth hereinbefore in Paragraph 2.

Neither the Consultant nor its employees shall be considered under the provisions of this Agreement or otherwise as having an employee, servant or agency status or as being entitled to participate in any plans, arrangements or distributions of the Authority.

In providing the services under this Agreement, the Consultant represents and warrants that it has complied with all applicable federal, state and local laws particularly with respect to licenses, withholdings, reporting and payment of taxes. The Consultant agrees to furnish copies of documentation to the Authority evidencing its compliance with such laws. The Consultant further represents and warrants that any income accruing to the Consultant and its employees from the Agreement shall be reported as such to the appropriate taxation authorities.

- 16. <u>COMPLIANCE</u>: The Consultant agrees that the Agreement herein shall be in compliance with and governed by the provisions of Section 2875, 2876 and 2878 of the Public Authorities Law of the State of New York. The Consultant further affirms under the penalties of perjury that there was no collusion in the proposal submitted herein to AUTHORITY which forms the basis of the within Agreement.
- 17. **GRATUITIES:** The Consultant prohibits its employees from using their positions for personal financial gain, or from accepting any personal advantage from anyone under

circumstance which might reasonably be interpreted as an attempt to influence the recipients in the conduct of their official duties. The Consultant or its employees shall not, under circumstances which might be reasonably interpreted as an attempt to influence the recipients in the conduct of their duties, extend any gratuity or special favor to employees of the Authority.

- 18. NOTICE: Any notices required by this Agreement or otherwise shall be delivered by United States Postal mail or personal delivery upon the addresses hereinbefore stated. Any change in such addresses shall be required to be in writing to the other party and acknowledged as such.
- 19. <u>SEVERABILITY:</u> If any provision of this agreement shall be held invalid or unenforceable, in whole or in part, such provision shall be modified to the minimum extent necessary to make it valid and enforceable, and the validity and enforceability of all other provisions of this agreement shall not be affected thereafter.
- **TERMINATION:** The Authority reserves the right to terminate this contract in the event it is found that the Certification filed by the Consultant in accordance with New York State Finance Law §139-k was intentionally false or intentionally incomplete. Upon such finding, the Authority may exercise its termination right by providing written notification to the Consultant in accordance with the written notification terms of this contract.

#### **ERIE COUNTY WATER AUTHORITY**

	Ву
	Jerome D. Schad, Chairman
	ARCADIS OF NEW YORK, INC.
	,
	ByMark R. Lenz, P.E., Vice President
STATE OF NEW YORK ) COUNTY OF ERIE ) ss:	
Jerome D. Schad, to me known, who in Amherst, New York, that he is	, in the year 20, before me personally came b, being by me duly sworn, did depose and say that he resides the Chairman of the Corporation described in the above name thereto by order of the Board of Directors of said
Notary Public	_
STATE OF NEW YORK ) COUNTY OF ERIE ) ss:	
Amherst, New York, that he is the	, in the year 20, before me personally came eing by me duly sworn, did depose and say that he resides in Vice President of the Corporation described in the above name thereto by order of the Board of Directors of said
Notary Public	_

# EXHIBIT A INSURANCE REQUIREMENTS ERIE COUNTY WATER AUTHORITY



#### CERTIFICATE OF LIABILITY INSURANCE

DATE(MM/DD/YYYY) 08/03/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Aon Risk Services South. Inc.	CONTACT NAME: PHONE (866) 362, 7122 FAX 800, 362, 0105						
	PHONE (A/C. No. Ext): (866) 283-7122 FAX (A/C. No.): 800	-363-0105					
Suite 300	E-MAIL ADDRESS:						
	INSURER(S) AFFORDING COVERAGE	NAIC#					
Aon Risk Services South, Inc. Franklin TN Office 501 Corporate Centre Drive Suite 300 Franklin TN 37067 USA INSURED Arcadis of New York, Inc. One Lincoln Center 110 West Fayette St., Suite 300 Syracuse NY 13202 USA	INSURER A: Greenwich Insurance Company	22322					
	INSURERB: XL Specialty Insurance Co	37885					
	INSURERC: XL Insurance America Inc	24554					
Syracuse NY 13202 USA	INSURER D:						
	INSURER E:						
	INSURER F:						

CERTIFICATE NUMBER: 570072600466 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

Limits shown are as requester.

INSR LTR	TYPE OF INSURANCE	ADDI INSD	SUBR	POLICY NUMBER	POLICY EFF	POLICY EXP (MM/DD/YYYY)		own are as requested
HAK.	X COMMERCIAL GENERAL LIABILITY	เพรอ	WVD	GEC001076116	01/01/2018	01/01/2019	EACH OCCURRENCE	\$1,000,000
	CLAIMS-MADE X OCCUR			General Liability SIR applies per policy ter			DAMAGE TO RENTED PREMISES (Ea occurrence)	\$1,000,000
							MED EXP (Any one person)	\$10,000
				11095			PERSONAL & ADV INJURY	\$1,000,000
ł	GEN'LAGGREGATE LIMIT APPLIES PER:			22322			GENERAL AGGREGATE	\$2,000,000
	POLICY X PRO- X LOC			Au XV			PRODUCTS - COMP/OP AGG	\$2,000,000
В	AUTOMOBILE LIABILITY			AEC001075816 Auto (AOS)	01/01/2018	01/01/2019	COMBINED SINGLE LIMIT (Ea accident)	\$1,000,000
1	X ANY AUTO			00779			BODILY INJURY (Per person)	
]	OWNED SCHEDULED	-					BODILY INJURY (Per accident)	
	AUTOS ONLY AUTOS HIRED AUTOS NON-OWNED	1		37885			PROPERTY DAMAGE	······· <b>·</b>
	ONLY AUTOS ONLY			Au XV			(Per accident)	
В	X UMBRELLALIAB X OCCUR			UEC001075916	01/01/2018	01/01/2019	EACH OCCURRENCE	\$1,000,000
	EXCESS LIAB CLAIMS-MADE			00779 37885 Au XV			AGGREGATE	\$1,000,000
	DED X RETENTION \$10,000			007750700011441				<u> </u>
С	WORKERS COMPENSATION AND	一		RWD943516312	01/01/2018	01/01/2019	X PER OTH-	
В	EMPLOYERS' LIABILITY ANY PROPRIETOR / PARTNER / EXECUTIVE N			AOS RWR943516712	01/01/2018	01 /01 /2010	E.L. EACH ACCIDENT	\$1,000,000
•	(Mandatory in NH)	NIA		AK, WI	01/01/2010	01/01/2019	E.L. DISEASE-EA EMPLOYEE	\$1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE-POLICY LIMIT	\$1,000,000
				APPROVED -	08/07/20	18 TA		

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES (ACOND 10), Additional Remarks Schedule, may be attached it more space is required)

RE: Project Number: 201800137 • MP-080 • Professional Engineering Services, Engineering Services for Capital Improvement Program for Ball Pump Station, ECWA Project Number: 201800030, PROPOSAL - Guenther Pump Station Rehabilitation & Ball Pump Station Study. Erie County Water Authority is included as Additional Insured in accordance with the policy provisions of the General Liability and Automobile Liability policies. General Liability policy evidenced herein is Primary and Non-Contributory to other insurance available to an Additional Insured, but only in accordance with the policy's provisions. A Waiver of Subrogation is granted in favor of Erie County Water Authority in accordance with the policy provisions of the General Liability, Automobile Liability and Workers' Compensation policies. Contractual Liability for Insured Contracts is included,

CERTIFICATE	HOLDER
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#### CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

Erie County Water Authority Attn: Mr, Anthony Alessi 295 Main Street, Room 350 Buffalo NY 14203-2494 USA

AUTHORIZED REPRESENTATIVE

Aon Risk Services South Inc.

AGENCY CUSTOMER ID: 570000005571

LOC #:



# ADDITIONAL REMARKS SCHEDULE

Page	of

Aon Risk Services South, Inc.		Arcadis of New York, Inc.
POLICY NUMBER		
See Certificate Number: 570072600466		
CARRIER	NAIC CODE	
See Certificate Number: 570072600466		EFFECTIVE DATE:
ADDITIONAL REMARKS		
THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO	ACORD FOR	DAA
FORM NUMBER: ACORD 25 FORM TITLE: Certificat	e of Liability II	nsurance
Additional Description of Operations / Locations / Vehicles: subject to the policy terms, conditions and e	velusions	•
and the portey berma, contraversity and the	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
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(Ed. 12/10)

#### **ENDORSEMENT#**

This endorsement, effective 12:01 a.m., 01-01-2018 forms a part of

Policy No. RWD9435163-12

issued to Arcadis U.S., Inc.

by XL Insurance America, Inc.

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

#### **CANCELLATION NOTIFICATION TO OTHERS ENDORSEMENT**

This endorsement modifies insurance provided under the following:

WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY INSURANCE POLICY

In the event coverage is cancelled for any statutorily permitted reason, other than nonpayment of premium, advanced written notice will be mailed or delivered to person(s) or entity(ies) according to the notification schedule shown below:

Name of Person(s) or Entity(ies)	Mailing Address:	Number of Days Advanced Notice of Cancellation:
When required in a written agreement, per the most current schedule maintained by Aon Risk Services South, Inc. for Arcadis U.S., Inc., CallisonRTKL, Inc. and their subsidiaries furnished to XL Catlin 45 days prior to the effective date of cancellation.	On File	30

All other terms and conditions of the Policy remain unchanged.

This endorsement changes the policy to which it is attached and is effective on the date issued unless otherwise stated.

(The information below is required only when this endorsement is issued subsequent to preparation of the policy.)

Endorsement Effective 01-01-2018 Insured Arcadis U.S., Inc.

Policy No. RWD9435163-12

Endorsement No. Premium Included

Insurance Company XL Insurance America, Inc.

Countersigned by

WC 99 06 57 Ed. 12/10

#### **ENDORSEMENT #TBD**

This endorsement, effective 12:01 a.m., January 1, 2018 forms a part of Policy No. UEC001075916 issued to ARCADIS U.S., INC. AND CALLISONRTKL INC. by XL Specialty Insurance Company.

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

#### CANCELLATION NOTIFICATION TO OTHERS ENDORSEMENT

Advanced written notice will be mailed or delivered to person(s) or entity(ies) shown in the Schedule below at least:

- a. 10 days before the effective date of cancellation if we cancel for nonpayment of premium; or
- b. 30 days before the effective date of cancellation if we cancel for any other reason

Name of Person(s) or Entity(ies)	Mailing Address:	Number of Days Advanced Notice of Cancellation:
AS PER SCHEDULE ON FILE WITH THE COMPANY	AS PER SCHEDULE ON FILE WITH THE COMPANY	30

All other terms and conditions of the Policy remain unchanged.

#### **ENDORSEMENT #030**

This endorsement, effective 12:01 a.m., January 1, 2018 forms a part of Policy No. AEC001075816 issued to ARCADIS U.S., INC. AND CALLISONRTKL INC. by XL Specialty Insurance Company.

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

#### **CANCELLATION NOTIFICATION TO OTHERS ENDORSEMENT**

Advanced written notice will be mailed or delivered to person(s) or entity(ies) shown in the Schedule below at least:

- a. 10 days before the effective date of cancellation if we cancel for nonpayment of premium; or
- b. 30 days before the effective date of cancellation if we cancel for any other reason

S	chedule		
Name of Person(s) or Entity(ies)	Mailing Address:		
AS PER SCHEDULE ON FILE WITH COMPANY	AS PER SCHEDULE ON FILE WITH COMPANY		

All other terms and conditions of the Policy remain unchanged.

#### **ENDORSEMENT #028**

This endorsement, effective 12:01 a.m., January 1, 2018 forms a part of Policy No. GEC001076116 issued to ARCADIS U.S., INC. AND CALLISONRTKL INC. by Greenwich Insurance Company.

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

#### **CANCELLATION NOTIFICATION TO OTHERS ENDORSEMENT**

In the event coverage is cancelled for any statutorily permitted reason, other than nonpayment of premium, advanced written notice will be mailed or delivered to person(s) or entity(ies) according to the notification schedule shown below:

Name of Person(s) or Entity(ies)	Mailing Address:	Number of Days Advanced Notice of Cancellation:	
AS PER SCHEDULE ON FILE WITH COMPANY	AS PER SCHEDULE ON FILE WITH COMPANY	30	

All other terms and conditions of the Policy remain unchanged.

## **Greenwich Insurance Company**

A.M. Best #: 011095

NAIC #: 22322

FEIN #: 951479095

**Administrative Office** 

View Additional Address

Seaview House 70 Seaview

Information

Avenue

Stamford, CT 06902

**United States** 

Web: www.xlcatlin.com Phone: 203-964-5200 Fax: 203-964-3444



Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.

View additional news, reports and products for this company.

Based on A.M. Best's analysis, 046310 - XL Group Ltd is the AMB Ultimate Parent and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

#### **Best's Credit Ratings**

#### Financial Strength Rating View Definition

Rating:

A u (Excellent)

**Affiliation Code:** 

g (Group)

Financial Size Category: XV (\$2 Billion or greater)

Implication:

Developing

Action:

**Under Review** 

**Effective Date:** 

March 06, 2018

Initial Rating Date:

June 30, 1991

#### Long-Term Issuer Credit Rating View Definition

Long-Term:

a+ u

Implication:

Developing

Action:

## XL Specialty Insurance Company

A.M. Best #: 000779 NAIC #: 37885 FEIN #: 850277191

**Administrative Office** 

View Additional Address

Seaview House 70 Seaview

Information

Avenue

Stamford, CT 06902

**United States** 

Web: www.xlcatlin.com Phone: 203-964-5200 Fax: 203-964-3444



Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.

View additional news, reports and products for this company.

Based on A.M. Best's analysis, 046310 - XL Group Ltd is the AMB Ultimate Parent and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

#### **Best's Credit Ratings**

#### Financial Strength Rating View Definition

Rating:

A u (Excellent)

**Affiliation Code:** 

g (Group)

Financial Size Category: XV (\$2 Billion or greater)

Implication:

Developing

Action:

**Under Review** 

**Effective Date:** 

March 06, 2018

**Initial Rating Date:** 

June 30, 1989

#### Long-Term Issuer Credit Rating View Definition

Long-Term:

a+ u

Implication:

Developing

Action:

## XL Insurance America, Inc.

A.M. Best #: 002423

NAIC #: 24554

FEIN #: 756017952

**Administrative Office** 

View Additional Address

70 Seaview Avenue Stamford, CT 06902 Information

**United States** 

Web: www.xlcatlin.com Phone: 203-964-5200 Fax: 203-964-3444



Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.

View additional news, reports and products for this company.

Based on A.M. Best's analysis, 046310 - XL Group Ltd is the AMB Ultimate Parent and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

#### **Best's Credit Ratings**

#### Financial Strength Rating View Definition

Rating:

A u (Excellent)

**Affiliation Code:** 

g (Group)

Financial Size Category: XV (\$2 Billion or greater)

Implication:

Developing

Action:

**Under Review** 

**Effective Date:** 

March 06, 2018

**Initial Rating Date:** 

June 30, 1950

#### Long-Term Issuer Credit Rating View Definition

Long-Term:

a+ u

Implication:

Developing

Action:



#### CERTIFICATE OF LIABILITY INSURANCE

DATE(MM/DD/YYYY) 08/03/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this

PRODUCER	CONTA NAME:	T					
Aon Risk Services South, Inc. Franklin TN Office	PHONE (A/C. No.	Ext): (866	283-7122		FAX (A/C, No.):	(800) 3	63-0105
501 Corporate Centre Drive Suite 300		E-MAII. ADDRESS:					
Franklin TN 37067 USA	ļ	11	NSURER(S) AF	FORDING CO	OVERAGE		NAIC#
NSURED Arcadis of New York, Inc.	INSURE	INSURER A: Steadfast Insurance Company				26387	
	INSURE	RB: Lex	kington In	surance C	ompany		19437
One Lincoln Center 110 West Fayette St., Suite 300	Insure	२ <b>c</b> :					
Syracuse NY 13202 USÁ	INSURE	R D;					
	INSURE	RE:					
	INSURE	₹F:					
COVERAGES CE	RTIFICATE NUMBER: 570072600541			REVISIO	N NUMBE	R:	

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

Limits shown are as requeste

	Limits shown are as requested					
INSR LTR	R TYPE OF INSURANCE		ADDU SUBR INSD: WVD POLICY NUMBER		POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY					EACH OCCURRENCE
	CLAIMS-MADE OCCUR					DAMAGE TO RENTED PREMISES (Ea occurrence)
						MED EXP (Any one person)
						PERSONAL & ADV INJURY
ľ	GEN'L AGGREGATE LIMIT APPLIES PER:	1 1				GENERALAGGREGATE
	POLICY PRO- LOC					PRODUCTS - COMP/OP AGG
<u></u>	OTHER:					
	AUTOMOBILE LIABILITY					COMBÎNED SINGLE LIMIT (Ea accident)
	ANYAUTO					BODILY INJURY ( Per person)
l	OWNED SCHEDULED AUTOS					BODILY INJURY (Per accident)
	HIRED AUTOS NON-OWNED					PROPERTY DAMAGE (Per accident)
	ONLY AUTOS ONLY					( or assistant)
	UMBRELLA LIAB OCCUR					EACH OCCURRENCE
	EXCESS LIAB CLAIMS-MADE			ļ		AGGREGATE
] .	DED RETENTION	1				
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY					PER OTH- STATUTE ER
	ANY PROPRIETOR / PARTNER / EXECUTIVE					E.L. EACH ACCIDENT
1	OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	N/A				E.L. DISEASE-EA EMPLOYEE
	If yes, describe under DESCRIPTION OF OPERATIONS below					E.L. DISEASE-POLICY LIMIT
Α	Env Contr Poll		E0C929693804	06/01/2018	06/01/2019	Each Claim \$1,000,000
	03557 26387 A+ XV		Professional & Pollution SIR applies per policy ter	ms & condi	ions	Annual Aggregate \$1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

RE: Project Number: 201800137 - MP-080 - Professional Engineering Services, Engineering Services for Capital Improvement Program for Ball Pump Station, ECWA Project Number: 201800030, PROPOSAL - Guenther Pump Station Rehabilitation & Ball Pump Station Study. Erie County Water Authority is added as additional Insured on the Pollution Liability policy as respects Liability arising out of activities by, or on behalf of the named Insured. For Professional Liability coverage, the Aggregate Limit is the total insurance available for claims presented within the policy period for all operations of the insured. The Limit will be reduced by payments of indemnity and expense.

APPROVED 08/07/2018 - TA

OFDTICIO ATT	HOLDED	
CERTIFICATE	HULDEK	

#### CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

Erie County Water Authority Attn: Mr, Anthony Alessi 295 Main Street, Room 350 Buffalo NY 14203-2494 USA

AUTHORIZED REPRESENTATIVE

Aon Rish Services South Inc

AGENCY CUSTOMER ID: 570000005571



ĄC	ORD"	ADDI	TIO	NAL	REMA	RKS		oc#: EDULE		Page _ of
AGENO Aon	Risk Services South,	, Inc.				NAMED IN Arcad	sured is of New	York, Inc.		
	ONUMBER Certificate Number:	57007260	00541							
CARRII See	ER Certificate Number:	0541		VAIC CODE	EFFECTIV	E DATE:				
ADD	ITIONAL REMARKS									
	ADDITIONAL REMARKS M NUMBER: ACORD 25									
	INSURER(S)	AFFORDI	NG C	OVERAG	)E	N	IAIC#			
INSU	JRER									
INSU	RER									
INSU	IRER									
INSU	IRER									
ADD	DITIONAL POLICIES			w does not for policy		inform	ation, refer to	the correspond	ling policy on the	ne ACORD
INSR LTR	TYPE OF INSURANCE	ADD INSI	L SUBR WVD	POI	LICY NUMBER		POLICY EFFECTIVE DATE MM/DD/YYYY)	POLICY EXPIRATION DATE (MM/DD/YYYY)	LIN	IITS
	OTHER									
	X Claims-Made								<u> </u>	
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	X and Contractor	s								
-	X Pollution Liab	ility				$\dashv$				
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					<del></del>					

## Steadfast Insurance Company

A.M. Best #: 003557

NAIC #: 26387

FEIN #: 520981481

**Administrative Office** 

View Additional Address

1299 Zurich Way

Information

Schaumburg, IL 60196-1056

**United States** 

Web: www.zurichna.com Phone: 800-987-3373 Fax: 877-962-2567



Assigned to insurance companies that have, in our opinion, a superior ability to meet their ongoing insurance obligations.

View additional news, reports and products for this company.

Based on A.M. Best's analysis, 050457 - Zurich Insurance Group Ltd is the AMB Ultimate Parent and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

#### Best's Credit Ratings

Financial Strength Rating View Definition

Rating:

A+ (Superior)

**Affiliation Code:** 

g (Group)

Financial Size Category: XV (\$2 Billion or greater)

Outlook:

Stable

Action:

Affirmed

**Effective Date:** 

December 08, 2017

**Initial Rating Date:** 

June 30, 1974

Long-Term Issuer Credit Rating View Definition

Long-Term:

aa-

Outlook:

Stable

Action:

Affirmed



# CERTIFICATE OF NYS WORKERS' COMPENSATION INSURANCE COVERAGE

Legal Name & Address of Insured (use street address only)     Arcadis of New York, Inc.     110 W. Fayette Street, Suite 300     Syracuse, NY 13202  Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., a Wrap-Up Policy)	1b Business Telephone Number of Insured 720-344-3803  1c NYS Unemployment Insurance Employer Registration Number of Insured 37-21861  1d Federal Employer Identification Number of Insured or Social Security Number 16-1448024
2. Name and Address of Entity Requesting Proof of Coverage (Entity Being Listed as the Certificate Holder)  Eric County Water Authority Attn: Anthony Alessi 295 Main Street, Room 350 Buffalo, NY 14203  APPROVED 08/07/2018 -	3a Name of Insurance Carrier XL Insurance America, Inc.  3b Policy Number of Entity Listed in Box "1a" RWD943516312  3c Policy effective period 01-01-2018 to 01-01-2019  Au XV
11 1 RO V ED 00/0//2010 -	3d The Proprietor, Partners or Executive Officers are  [x] included. (Only check box if all partners/officers included)  [ ] all excluded or certain partners/officers excluded.

This certifies that the insurance carrier indicated above in box "3" insures the business referenced above in box "1a" for workers' compensation under the New York State Workers' Compensation Law. (To use this form, New York (NY) must be listed under <a href="Item 3A">Item 3A</a> on the INFORMATION PAGE of the workers' compensation insurance policy). The Insurance Carrier or its licensed agent will send this Certificate of Insurance to the entity listed above as the certificate holder in box "2".

The insurance carrier must notify the above certificate holder and the Workers' Compensation Board within 10 days IF a policy is canceled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from the coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in box "3c", whichever is earlier.

This certificate is issued as a matter of information only and confers no rights upon the certificate holder. This certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This certificate may be used as evidence of a Workers' Compensation contract of insurance only while the underlying policy is in effect.

Please Note: Upon cancellation of the workers' compensation policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Workers' Compensation Coverage or other authorized proof that the business is complying with the mandatory coverage requirements of the New York State Workers' Compensation Law.

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has the coverage as depicted on this form.

	(Print name of authorized representative or	noning agent of modification parties,
Approved by:	Joseph low	8/3/2018
	(Signature)	(Date)
Title:	Chief Executive Officer	

Please Note: Only insurance carriers and their licensed agents are authorized to issue Form C-105.2. Insurance brokers are NOT authorized to issue it.

#### **Workers' Compensation Law**

Section 57. Restriction on issue of permits and the entering into contracts unless compensation is secured.

- 1. The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in a hazardous employment defined by this chapter, and notwithstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that compensation for all employees has been secured as provided by this chapter. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any compensation to any such employee if so employed.
- 2. The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in a hazardous employment defined by this chapter, notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that compensation for all employees has been secured as provided by this chapter.

## XL Insurance America, Inc.

A.M. Best #: 002423

NAIC #: 24554

FEIN #: 756017952

**Administrative Office** 

View Additional Address

70 Seaview Avenue Stamford, CT 06902 Information

**United States** 

Web: www.xlcatlin.com Phone: 203-964-5200 Fax: 203-964-3444



Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.

View additional news, reports and products for this company.

Based on A.M. Best's analysis, 046310 - XL Group Ltd is the AMB Ultimate Parent and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

#### **Best's Credit Ratings**

#### Financial Strength Rating View Definition

Rating:

A u (Excellent)

**Affiliation Code:** 

g (Group)

Financial Size Category: XV (\$2 Billion or greater)

Implication:

Developing

Action:

**Under Review** 

**Effective Date:** 

March 06, 2018

**Initial Rating Date:** 

June 30, 1950

#### Long-Term Issuer Credit Rating View Definition

Long-Term:

a+ u

Implication:

Developing

Action:



# CERTIFICATE OF INSURANCE COVERAGE under the NYS DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

PART 1. To be completed by Disability and Paid Family Leave B	Benefits Carrier or Licensed Insurance Agent of that Carrier
1a. Legal Name & Address of Insured (use street address only) Arcadis US, Inc. 110 W Fayette St Suite 300 Syracuse, NY 13202  Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., Wrap-Up Policy) ARCADIS of New York 110 West Fayette St Suite 300 Syracuse, NY 13202	1b. Business Telephone Number of Insured  1c. Federal Employer Identification Number of Insured or Social Security Number  16-1448024
2. Name and Address of Entity Requesting Proof of Coverage (Entity Being Listed as the Certificate Holder) Erie County Water Authority Attn: Kathy Sanok 295 Main St, Room 350 Buffalo, NY 14203	3a. Name of Insurance Carrier CIGNA LIFE INSURANCE COMPANY OF NEW YORK  3b. Policy Number of Entity Listed in Box "1a" NYD067857  3c. Policy effective period 1/1/2018 to 1/1/2019  64548
4. Policy provides the following benefits:  A. Both disability and paid family leave benefits.  B. Disability benefits only.  C. Paid family leave benefits only.  5. Policy covers:  A. All of the employer's employees eligible under the B. Only the following class or classes of employer's employer	e or licensed agent of the insurance carrier referenced above and
Date Signed December 26, 2017  Telephone Number 1-866-761-4236  IMPORTANT: If Boxes 4A and 5A are checked, and this form is signed Insurance Agent of that carrier, this certificate is COMPL If Box 4B, 4C or 5B is checked, this certificate is NOT Compared to the control of the	rier's authorized representative or NYS Licensed Insurance Agent of that insurance carrier)  Underwriting Director  by the insurance carrier's authorized representative or NYS Licensed.  ETE. Mail it directly to the certificate holder.  OMPLETE for purposes of Section 220, Subd. 8 of the NYS Disability for completion to the Workers' Compensation Board, Plans Acceptance  VED 08/07/2018 - TA  Board (Only if Box 4B, 4C or 5B of Part 1 has been checked)  W York  ation Board  ation Board, the above-named employer has complied with the all of his/her employees.
Talanhana Namahan	(Signature of Authorized NYS Workers' Compensation Board Employee)
Telephone Number Name and Title	

#### Additional Instructions for Form DB-120.1

By signing this form, the insurance carrier identified in Box 3 on this form is certifying that it is insuring the business referenced in box "1a" for disability and/or paid family leave benefits under the New York State Disability and Paid Family Leave Benefits Law. The Insurance Carrier or its licensed agent will send this Certificate of Insurance to the entity listed as the certificate holder in Box 2.

The insurance carrier must notify the above certificate holder and the Workers' Compensation Board within 10 days IF a policy is cancelled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in Box 3c, whichever is earlier.

This certificate is issued as a matter of information only and confers no rights upon the certificate holder. This certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This certificate may be used as evidence of a Disability and/or Paid Family Leave Benefits contract of insurance only while the underlying policy is in effect.

Please Note: Upon the cancellation of the disability and/or paid family leave benefits policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of NYS Disability and/or Paid Family Leave Benefits Coverage or other authorized proof that the business is complying with the mandatory coverage requirements of the New York State Disability and Paid Family Leave Benefits Law.

#### DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

#### §220. Subd. 8

- (a) The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in employment as defined in this article, and not withstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand and twenty-one, the payment of family leave benefits for all employees has been secured as provided by this article. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any disability benefits to any such employee if so employed.
- (b) The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in employment as defined in this article and notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand eighteen, the payment of family leave benefits for all employees has been secured as provided by this article.

## Cigna Life Insurance Company of New York

A.M. Best #: 006538 NAIC #: 64548 FEIN #: 132556568

**Administrative Office** 

View Additional Address

Two Liberty Place 1601 Chestnut

Information

Street, TL14A

Philadelphia, PA 19192-2362

**United States** 

Web: www.cigna.com Phone: 215-761-1000



Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.

View additional news, reports and products for this company.

Based on A.M. Best's analysis, 058703 - Cigna Corporation is the AMB Ultimate Parent and identifies the topmost entity of the corporate structure. View a list of operating insurance entities in this structure.

#### Best's Credit Ratings

#### Financial Strength Rating View Definition

Rating:

A u (Excellent)

**Affiliation Code:** 

g (Group)

Financial Size Category: XV (\$2 Billion or greater)

Implication:

Negative

Action:

**Under Review** 

**Effective Date:** 

March 13, 2018

Initial Rating Date:

June 30, 1976

#### Long-Term Issuer Credit Rating View Definition

Long-Term:

a u

Implication:

Negative

Action:

INS2013-PS Revision date: 03/01/2013

#### Erie County Water Authority Insurance Requirements for Professional Services

**Project Number:** 201800137 - MP-080 - Professional Engineering Services

Description: Engineering Services for Capital Improvement Program

for Ball Pump Station.

The following minimum insurance requirements shall apply to professional service providers under agreement with the Erie County Water Authority (ECWA). The professional service provider carries relevant insurance for the services covered. If at anytime, in the opinion of ECWA, there is an unusual or exceptional risk, ECWA may establish additional insurance requirements for the duration of the agreement. All insurance required herein shall be obtained at the sole cost and expense of the professional service provider, including deductibles and self-insured retentions. These requirements include but are not limited to the minimum insurance requirements.

An  $\underline{\mathbf{X}}$  indicates insurance coverage is required.

<u>X</u>	Commercial General Liability Insurance: (including, but not limited to, Bodily
	(Personal) Injury, Premises Operations, Property Damage Liability (broad form),
	Contractual Liability, Advertising Injury, Independent Contractors, Product
	Liability, Completed Operations Liability and Explosion, Collapse and
	Underground Coverage) - in an amount not less than \$1,000,000 combined single
	limit and \$2,000,000 in the aggregate:

<u>X</u>	Per Policy
	Per Project or Job
	Per Location

There should be no exclusions for any claims filed, actual or alleged, for violation of any applicable statute including, but not limited to, the New York State or federal labor laws, ordinances, administrative orders, executive orders, rules, regulations, or decrees of any court of competent jurisdiction.

X Commercial Business Automobile Insurance in an amount of not less than \$1,000,000 each accident and shall cover liability arising out of any automobile owned, leased, hired, borrowed and non-owned automobiles. Additionally, if vehicles are used for transporting hazardous materials, the contractor shall obtain and maintain the "broadened" coverage (endorsement CA 99 48 10 01 or CA 99 48 12 93), as well as proof of MCS 90 04 00.

<u>X</u>	Exces	s Umbrella Liability Insurance:
	<u>X</u>	\$1,000,000 in the aggregate
	<del>.,,,</del>	\$2,000,000 in the aggregate
		\$3,000,000 in the aggregate
	••••	\$4,000,000 in the aggregate
		\$5,000,000 in the aggregate
		X Per Policy
		Per Project or Job
		Per Location
<u>X</u> _	Continuous Covera	ssional Liability Insurance: Per each occurrence and in the aggregate nuous coverage shall be maintained, or on an extended discovery period ("tailage"), for a period of not less than two years from the time the agreement has completed in an amount of not less than:
	<u>X</u>	\$1,000,000 in the aggregate
		\$2,000,000 in the aggregate
		\$3,000,000 in the aggregate
		\$4,000,000 in the aggregate
		\$5,000,000 in the aggregate
		X Per Policy
		Per Project or Job
		Per Location

# X Workers' Compensation and Employers' Liability and New York State Disability Benefits Insurances, as required by New York State statute.

Certificates of Insurance and renewals, on forms approved by the New York State Department of Insurance, must be submitted to ECWA prior to the award of contract. Each insurance carrier issuing a Certificate of Insurance shall be rated by A. M. Best no lower than "A-" with a Financial Strength Code (FSC) of at least VII. The professional service provider shall name ECWA, its officers, agents and employees as additional insured on a Primary and Non-Contributory Basis, including a Waiver of Subrogation endorsement (form CG 20 26 11 85 or equivalent), on all applicable liability policies. Any liability coverage on a "claims made" basis should be designated as such on the Certificate of Insurance.

To avoid confusion with similar insurance company names and to properly identify the insurance company, please make sure that the insurer's National Association of Insurance Commissioners (N.A.I.C.) identifying number or A. M. Best identifying number appears on the Certificate of Insurance.

Acceptance of a Certificate of Insurance and/or approval by ECWA shall not be construed to relieve the professional service provider of any obligations, responsibilities or liabilities.

Certificates of Insurance should be e-mailed to <u>AALESSI@ECWA.ORG</u>. or mailed to Mr. Anthony Alessi, ECWA Claims Representative/Risk Manager, Erie County Water Authority, 295 Main Street – Room 350, Buffalo, New York 14203-2494, or If you have any questions you can contact Mr. Alessi by e-mail or phone (716) 849-8477.

Please refer to the bid and the contract document(s) for additional information regarding insurance requirements.

#### **EXHIBIT B**

# ERIE COUNTY WATER AUTHORITY CONFIDENTIALITY AND COPYRIGHT LICENSING AGREEMENT

#### LICENSE:

Upon execution of this Agreement, the Licensee acquires from the Licensor a license to use the aforementioned property of the Licensor for the purpose of completing the work under this Agreement.

The Licensor reserves the right to incorporate any Licensee-created data into the Licensor's database.

#### **OWNERSHIP:**

This License Agreement does not constitute a transfer of title or interest in the data. Any portion of the data that is modified or merged into another computer file or program by the Licensee, or is integrated with other programs or data to form derivative products, shall continue to be subject to the provisions of this License Agreement. The Licensor retains ownership of the data and all such portions.

#### **CONFIDENTIALITY CLAUSE:**

The Licensee agrees that all digital data and hard copy from the ECWA GIS Basemap Features provided to the Licensee are copyrighted by the Licensor, are protected by the copyright laws of the United States, and are furnished to the Licensee with all rights reserved. Therefore, the Licensee is hereby permitted to use the digital data and hard copies thereof only for the purposes allowed under this Agreement. The Licensee agrees not to otherwise copy, reproduce or use the digital data, hard copy, or the information contained therein for any other purpose whatsoever.

#### **COPYRIGHT NOTICE:**

The copyright notice included in each of the files is not only to be retained in those files but is also to be included in any copies made of those files. No part of the files may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photographing and recording, or by any information storage or retrieval system, except as expressly permitted in writing by the Erie County Water Authority.

Upon notification by the Licensor of any changes in copyright requirements, the Licensee will make said changes to all subsequent maps or reports, as required.

#### **LIMITATION OF LIABILITY:**

ECWA GIS Basemap Features are compiled to National Map Accuracy Standards for 1"=100' scale mapping by Woolpert, Dayton, Ohio, using Stereo photogrammetric methods from aerial photography dated April, May, and/or November, 1990. The control grid is based on New York State Plane Coordinates and North American Datum 1983. The parcels are from Erie County Tax Maps which were available in the County Finance office in June of 1993.

The Licensor makes no claims as to the accuracy of the ECWA GIS Basemap Features and assumes no responsibility for their positional or content accuracy. The Licensor makes no claims as to the ability of the ECWA GIS Basemap Features to fulfill Licensee application requirements.

In providing data, the Licensor assumes no obligation to assist the Licensee in the use of the data, or in the development, use, or maintenance of any applications applied to the data.

Licensee recognizes and agrees that the Licensor makes NO REPRESENTATIONS OF ANY KIND INCLUDING, BUT NOT LIMITED TO, THE WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR USE, NOR ARE ANY SUCH WARRANTIES TO BE IMPLIED, WITH RESPECT TO THE DATA OR INFORMATION FURNISHED.

#### **TERMINATION:**

The License to use data terminates upon completion of the work under this Agreement.

#### **LIQUIDATION OF DAMAGES FOR BREACH OF AGREEMENT:**

The parties agree that if Licensee breaches the Agreement and uses or discloses any of the copyrighted information in any way other than that allowed, during or subsequent to the terms of this Agreement for any purpose whatsoever, the damages of the Licensor shall be deemed liquidated at three times the amount of the total Agreement price.

In addition to treble damages for breach of Agreement, Licensee will additionally forfeit the license acquired to use aforementioned copyrighted property of the Licensor.

#### **SPECIFIC TERMS OF ACCEPTANCE:**

This Agreement constitutes the entire agreement between the parties.